



# Hong Kong IPO Listing and Application 2024 Q1 Key Review

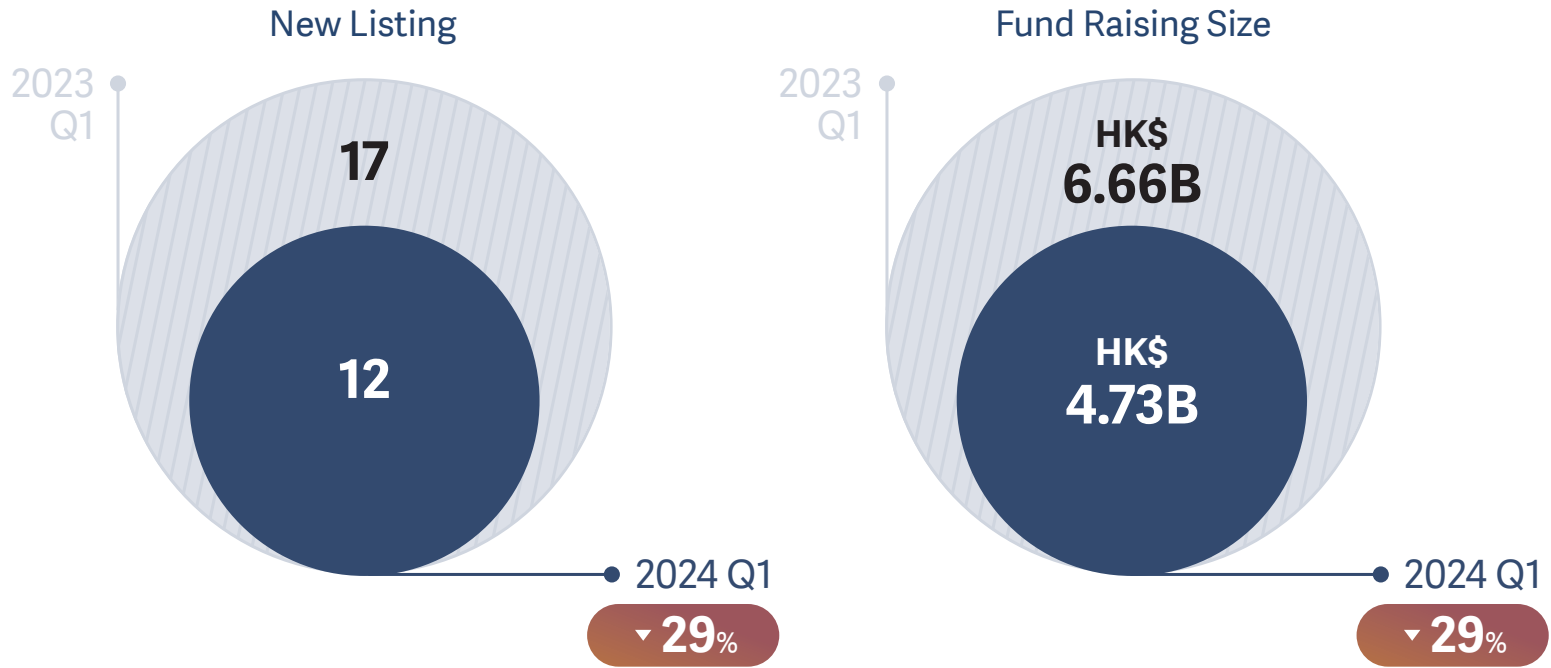
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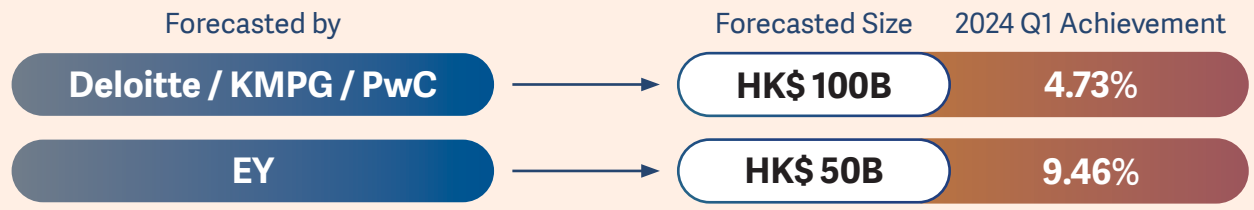
By Central Business Information Limited - Business Lab and Technical Services

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## 2024 Q1 Overview



### 2024 FY Major Market Forecast



Data Source: HKEx, CBI Business Lab analysis and public sources

► Figures include IPO listings on the Main Board, GEM, GEM transfer, SPAC and listing by introduction, exclude spin-off and RTO cases.

**2024 Q1****Active Sponsor (2024 Q1)**

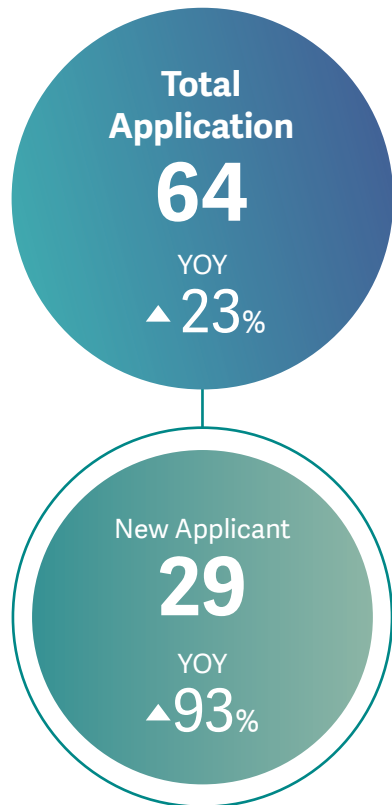
	Sponsor	No. of IPO Listings
1	China International Capital Corporation Hong Kong Securities Limited	3
2	Grande Capital Limited	2
3	J.P. Morgan Securities (Far East) Limited	
4	China Renaissance Securities (Hong Kong) Limited	1
5	China Sunrise Capital Limited	
6	CITIC Securities (Hong Kong) Limited	
7	Eddid Capital Limited	
8	GF Capital (Hong Kong) Limited	
9	Guotai Junan Capital Limited	
10	Haitong International Capital Limited	
11	Halcyon Capital Limited	
12	ICBC International Capital Limited	
13	Kingsway Capital Limited	

Data Source: HKEx, CBI Business Lab analysis and public sources

- ▶ "Active sponsor(s)" refers to sponsors that have been involved in any successful IPO listing during the period. For IPO with joint-sponsors, all sponsors are counted.
- ▶ Figures include IPO listings on the Main Board, GEM, GEM transfer, SPAC and listing by introduction, exclude spin-off and RTO cases.
- ▶ Sponsors having the same involved number of IPOs are shown in alphabetical order.
- ▶ Figures include multiple counting for respective sponsors involving in IPO with joint-sponsors.

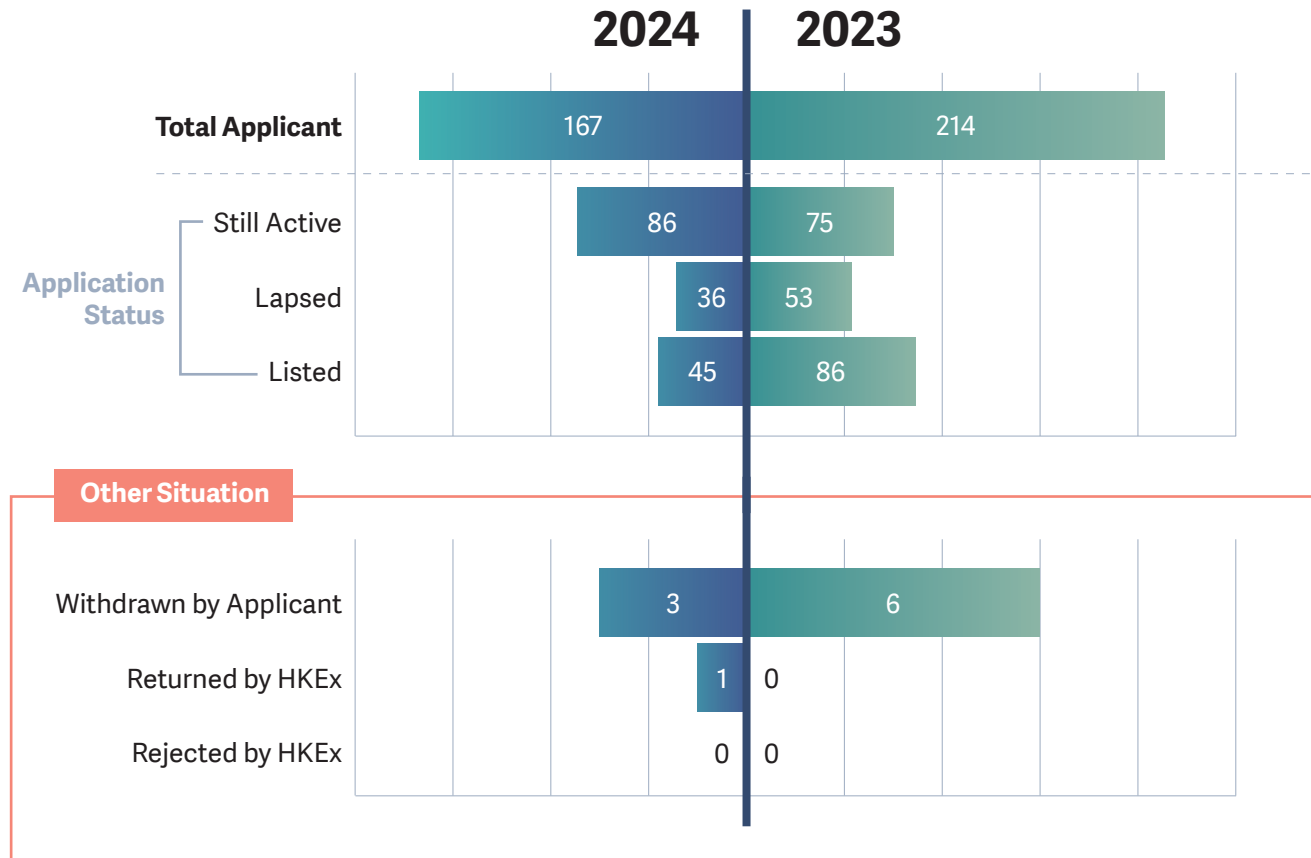
# IPO APPLICATION 2024 Q1 Overview

2024 Q1



## Application Status in the Past 12 Months

For the 12 months ended 31 MAR



Data Source: HKEx and CBI Business Lab analysis

- ▶ Figures include IPO applications for listing on the Main Board, GEM, GEM transfer, SPAC and listing by introduction, exclude spin-off and RTO cases.
- ▶ "New Applicant(s)" refers to the companies that have submitted their initial IPO to HKEx during the period, excluding those who have submitted their initial application before that period and subsequently resubmitted their application during the period.
- ▶ Figures for application(s) withdrawn by applicant and returned or rejected by HKEx only reflect the mentioned situation happened during the period, which may be different from the latest application status of the applicant(s) if subsequent application has been resubmitted to HKEx.

# Active Sponsor

## 2024 Q1



## Top Active Sponsor (2024 Q1)

	Sponsor	No. of Applicants Involved
1	China International Capital Corporation Hong Kong Securities Limited	25
2	CITIC Securities (Hong Kong) Limited	9
3	Huatai Financial Holdings (Hong Kong) Limited	
4	Haitong International Capital Limited	7
5	Morgan Stanley Asia Limited	
6	CCB International Capital Limited	6
7	CMB International Capital Limited	4
8	UBS Securities Hong Kong Limited	
9	Goldman Sachs (Asia) L.L.C.	3
10	J.P. Morgan Securities (Far East) Limited	

Data Source: HKEx and CBI Business Lab analysis

- ▶ "Active sponsor(s)" refer to sponsors that have submitted any IPO application to HKEx during the period. For IPO with joint-sponsors, all sponsors are counted.
- ▶ Figures include IPO applications for listing on the Main Board, GEM, GEM transfer, SPAC and listing by introduction, exclude spin-off and RTO cases.
- ▶ Sponsors having the same involved number of IPOs are shown in alphabetical order.
- ▶ Figures include multiple counting for respective sponsors involving in IPO with joint-sponsors.

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### More market insights and detailed statistics?

Our Business Lab and Technical Services Division also maintains a wealth of statistics and analysis pertaining to Hong Kong IPO market, including the activities of various professional parties and the interrelationships among them. For more information, please feel free to reach out to us at [businesslab@cbil.com.hk](mailto:businesslab@cbil.com.hk) for more details.

## AT A GLANCE



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